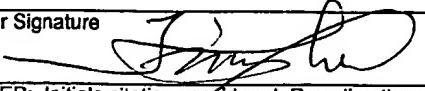


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06105-003002	Application No. 09/472,964
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant David K. Gifford	
		Filing Date December 28, 1999	Group Art Unit 2756

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
P E	AA	5,345,586	09/1994	HAMALA et al.	395	650	
O S C T S	AB	5,812,769	09/1998	GRABER et al.	395	200.12	
MAR 31 2000 PATENT & TRADEMARK OFFICE	AC	5,425,090	06/1995	ORRISS	379	201	
	AD	4,987,587	01/1991	JOLISSAINT	379	94	TC
	AE	5,774,660	06/1998	BRENDEL et al.	395	200.31	
	AF	5,463,735	10/1995	PASCUCCI et al.	395	200.1	
✓	AG	5,991,809	11/1999	KRIEGSMAN	709	226	
21	AH	6,003,030	12/1999	KENNER et al.	395	200.33	

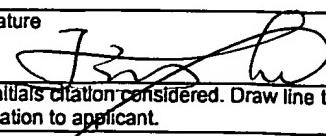
Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AI	WO 98/18076	04/1998	PCT			
21	AJ	WO 96/41285	12/1996	PCT			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AK	Bowman et al.; "The Harvest Information Discovery and Access System"; http://141.142.3.70/SDG/IT94/Proceedings/... ; 1994
	AL	Luotonen et al.; "World-Wide Web Proxies"; 04/1995
	AM	Kwan et al.; "NCSA's World Wide Web Server: Design and Performance", IEEE; 1995
	AN	Malpani et al.; "Making World Wide Caching Servers Cooperate"; http://bmrc.berkeley.edu/papers/1995/138/paper-59.html ; 1995
	AO	Routing Basics; http://www.ics.muni.cz/cisco/data/doc/cinternet/ito/55171.htm ; 1995
	AP	Jeffery et al.; "Proxy-Sharing Proxy Servers"; IEEE; 1996
	AQ	Oguchi et al.; "A Study of Caching Proxy Mechanisms Realized on Wide Area Distributed Networks", IEEE; 1996
	AR	Ross; "Hash Routing for Collections of Shared Web Caches"; IEEE Network; 11/1997
✓	AS	Cisco Systems, Inc.; "How to Cost-Effectively Scale Web Servers"; pp. 1-6; posted November 13, 1996; http://www.cisco.com/warp/public/784/5.html
21	AT	Cisco Systems, Inc.; "The Effects of Distributing Load Randomly to Servers"; pp. 1-18; 1997 or earlier

Examiner Signature 	Date Considered 8/23/06
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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		Filing Date December 28, 1999	Group Art Unit 2756

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
OPE	AU	Cisco Systems, Inc.; "Cisco Distributed Director"; pp. 1-15; posted February 21, 1997 or earlier; http://www.cisco.com/warp/public/734/distdir/dd_wp.htm
MAR 31 2000 PATENT & TRADEMARK OFFICE SEARCHED	AV	Cisco Systems, Inc.; "Cisco Distributed Director"; pp. 1-16; posted September 25, 1996; http://www.cisco.com/warp/public/734/distdir/dd_wp.htm
	AW	Wallace; "Load balancing juggles calls at busy Web sites"; pp. 1-2; March 17, 1997 or earlier; http://www.computerworld.com/search/AT-html/9611/961111sl46web.html
	AX	Cisco Systems, Inc.; "CiscoAdvantage: Leveraging Intranet and Internet Productivity"; pp. 1-8, posted May 21, 1996; http://www.cisco.com/warp/public/751/advtg/advan_pl.htm
	AY	Gulbransen et al.; "A DNS RR for specifying the location of services (DNS SRV)", pp. 1-9, February 26, 1997 or earlier; http://www.internic.net/rfc/rfc2052.txt
	AZ	Davis et al.; "A Means for Expressing Location Information in the Domain Name System"; pp. 1-16; February 27, 1997 or earlier; http://www.internic.net/tfc/rfc1876.txt
	BA	Erwin et al.; "Forrester: The Forrester Report"; pp. 2-11; December 1, 1996; Vol. 1, No. 1; http://access.forrester.com/cgi-bin/
	BB	Yoshikawa et al.; "Using Smart Clients to Build Scalable Services"; Unisex Association; 1997 Annual Technical Conference; pp. 105-120; January 6-10, 1997 or earlier
	BC	Open Market, Inc. Dr. Watson Product Description, "Software Product Description"; pp. 1-8, January 21, 1997 or earlier; http://www.cavebear.com/dwtnda/spd.html
	BD	Open Market, Inc.; "Frequently Asked Questions About Dr. Watson, The Network Detective's Assistant (DWTNDA) Version 1.2"; pp. 1-9; January 21, 1997 or earlier; http://www.cavebear.com/dwtnda/faq.html
	BE	Paxson; "End-to-End Routing Behavior in the Internet"; University of California, Berkeley and Lawrence Berkeley National Laboratory; pp. 25-38; 1996; SIGCOMM '96 8/96 0-89791-790-1/96/0008
	BF	Tennenhouse et al.; "Towards an Active Network Architecture"; ACM Computer Communications Reviewer; April 1996; Vol. 26, No. 2; pp. 5-18
	BG	Chankhunthod et al.; "A hierachical Internet Object Cache"; pp. 153-163; Usenix Technical Conference; January 22-26; 1996
	BH	Crovella et al.; "Dynamic Server Selection in the Internet"; pp. 1-5; June 30, 1995; Proc. Of the Third IEEE Workshop on the Architecture and Implementation of High Performance Communications Subsystems
	BI	Blaze et al.; "Dynamic Hierachial Caching in Large-Scale Distributed File Systems"; 0-8186-2865-0 /92 IEEE; pp. 521-528; 1992
	BJ	Guyton et al.; "Locating Nearby Copies of Replicated Internet Servers"; Computer Science Dept.; University of Colorado; pp. 288-298; 1995; SIGCOMM '95 Cambridge, MA ACM 0-89791-711-1/95/0008
	BK	Gwertzman et al.; The Case for Geographical Push-Caching"; pp.1-4; Harvard University; date unknown
	BL	Baentsch et al.; "Introducing application-level replication and naming into today's Web"; Computer Networks and ISDN Systems 28; pp. 921-930; 1996
BM	BM	Deering et al.; "Multicast Routing in Datagram Internetworks and Extended LANs"; ACM Transactions on Computer Systems; Vol. 8; No. 2; pp. 85-110; May 2, 1990

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Sheet 3 of 4

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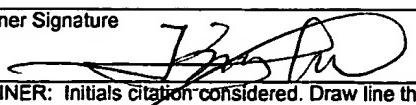
Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	BN	Bestavros; "Speculative Data Dissemination and Service to Reduce Server Load,..."; Proceedings of ICDE '96; Int. Conf. On Engineering; New Orleans, LA; March 1996
	BO	Bestavros; "Demand-based Document Dissemination to Reduce Traffic and Balance Load in Distributed Information System"; Proc. Of SPDP '95, 7 th IEEE Symposium; San Antonio, TX; October 1995
	BP	Washburn et al.; "TCP/IP Running a Successful Network"; pp. 1-233; and pp. 368-409; Addison-Wesley Publishing Company; date unknown
	BQ	Stevens; TCP/IP Illustrated; Vol. 1; pp. 1-21; 33-51, 85-142, 186-208, 222-228; Addison-Wesley Publishing Company; date unknown; The Domain Name System; pp. 186-208; TCP/IP Trans-Protocol; 223-228
	BR	Blaze; "Caching in Large-Scale Distributed File Systems"; Princeton University Department of Computer Science; Research Report CS-TR-397-92; January 1993; pp. 1-88
	BS	Danzig et al.; "A Case for Caching File Objects Inside Internetworks"; pp. 1-10; 1993; ACM SIGCOMM
	BT	PointCast, Inc.; "PointCast Ships The Industry's First Broadcast Solution for Corporate Intranets"; October 7, 1996; 501 Macara Ave.; Sunnyvale, CA 94086
	BU	PointCast, Inc.; "Deployment Guidelines" Technical Paper; May 15, 1997; or earlier; pp. 1-15
	BW	PointCast, Inc.; "Configuring Multiple PointCast I-Servers" Technical Paper; March 19, 1997 or earlier; pp. 1-11
	BX	Alsop; "PointCast and Its Wannabes"; Fortune; November 25, 1996; pp. 181
	BY	BackWeb Technologies, Inc.; "Put an End to Those Nasty Hit and Runs On Your Website"; 1996
	BZ	O'Malley et al.; "A Dynamic Network Architecture"; ACM Transactions on Computer Systems; Vol. 10; No. 2; May 1992; pp. 110-143
	CA	Huitema; "Routing in the Internet"; Prentice Hall PTR; Englewood Cliffs, NJ; pp. 1-60; date unknown
	CB	PointCast, Inc.; "Internal PointCast Network Traffic"; Technical Paper; April 17, 1997 or earlier; pp. 2-11
	CC	InterVU Network Services; "What We Do"; http://www.intervu.net/service/doit.html ; June 8, 1997 or earlier
	CD	Netscape; "Netscape Netcenter"; http://www.netscape.com ; 1998 or earlier
	CE	Resonate, Inc.; "Corporate Backgrounder"; pp. 1-10; date unknown; 465 Fairchild Drive, Suite 224, Mountain View, CA 94043
	CF	Resonate, Inc.; "A Case for Intelligent Distributed Server Management"; pp. 1-9; 1996; http://www.resonateinc.com/dsm.htm
	CG	Resonate, Inc.; "Resonate Dispatch™ 1.0: Distributed Server Management Solutions for the Internet"; pp. 1-3; 1996; http://www.resonateinc.com/datasheet.htm
✓	CH	W3C; "Propagation, Caching and Replication on the Web"; 1996; http://www.w3.org/pub/WWW/Propagation/
✓	CI	SQUID; "Frequently Asked Questions" pp. 1-16; 1996; http://squid.nlanr.net/Squid/FAQ.htm

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		Filing Date <u>December 28, 1999</u>	Group Art Unit <u>2756</u>

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	CJ	Wessels; "Internet Cache Protocol (ICP); Version 2; pp. 1-7; 1996; http://www.nlanr.net/Cache/ICP/ICP-id.txt
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	CL	Survey of caching requirements and specifications for prototype, "Desire: Project Deliverable"; pp. 1-74; 1996; http://www.cc.ruu.nl/~henny/desire/deliveries/del_41.html
JM	CM	SQUID; "Release Notes - 1.1 .txt,V 1.11; pp. 1-5; http://squid.nlanr.net/Squid/1.1beta/Release-Notes-1.1.txt ; December 5, 1996

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